

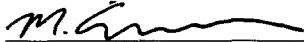
07-02-01

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**CERTIFICATE OF EXPRESS MAILING**

I hereby certify that this paper and the documents and/or fees referred to as attached therein are being deposited with the United States Postal Service on June 28, 2001 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR §1.10, Mailing Label Number **EL898677475US**, addressed to the Commissioner for Patents, Washington, DC 20231.



Misha Eisman

Attorney Docket No.: LSI1P167/01-206

First Named Inventor: Lu

06/28/01  
09/896363  
JC971 U.S. PTO

**UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR. § 1.53(b))**

Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

**Sir:** This is a request for filing a patent application under 37 CFR. § 1.53(b) in the name of inventors:  
**Hong-Qiang Lu, Wei-Jen Hsia, and Wilbur G. Catabay**

**For:** **DUAL LAYER BARRIER FILM TECHNIQUES TO PREVENT RESIST POISONING**

Assigned to: LSI Logic Corporation

**Application Elements:**

- ☒ **22** Pages of Specification, Claims and Abstract
- ☒ **7** Sheets of informal Drawings
- ☒ **3** Pages Combined Declaration and Power of Attorney

**Accompanying Application Parts:**

- ☒ Do not publish this application. Nonpublication Request is attached.
- ☒ Assignment and Assignment Recordation Cover Sheet
- ☐ 37 CFR 3.73(b) Statement by Assignee
- ☐ Information Disclosure Statement with Form PTO-1449
- ☐ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard
- ☐ Other:

Fee Calculation (37 CFR § 1.16)

☐ Applicant is entitled to Small Entity Status under 37 C.F.R. §1.27.

|   | (Col. 1)<br>Total Claims |       | (Col. 2)<br>Claims | (Col. 3)<br>Present Extra | Rate    | Additional Fee |
|---|--------------------------|-------|--------------------|---------------------------|---------|----------------|
| TOTAL   | 22                       | MINUS | 20                 | = 2                       | x 18 =  | \$36.00        |
| INDEP.  | 2                        | MINUS | 3                  | = 0                       | x 80 =  | 0              |
| [ ] First presentation of multiple dependent claim    |                          |       |                    |                           | \$270 = |                |
| Basic Filing Fee under 37 C.F.R. §1.16(a)             |                          |       |                    |                           | \$710 = | 710.00         |
| TOTAL   |                          |       |                    |                           |         | \$746.00       |
| SMALL ENTITY 50% FILING FEE REDUCTION (if applicable) |                          |       |                    |                           |         |                |

☒ The Commissioner is authorized to charge \$786.00 for the filing fees, assignment recordation fee and any fees which may be required, or to credit any overpayment, to Deposit Account No. 12-2252 (Order No. 01-206).

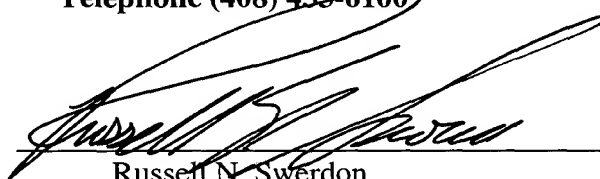
General Authorization for Petition for Extension of Time (37 CFR §1.136)

☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 12-2252 (Order No. 01-206).

☒ Please send correspondence to the following address:

**LSI Logic Corporation**  
**1551 McCarthy Boulevard, M/S D-106**  
**Milpitas, California 95035**  
**Telephone (408) 433-6100**

Date: June 28, 2001

  
 \_\_\_\_\_  
 Russell N. Swerdon  
 Registration No. 36,943

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Lu, et al.

Attorney Docket No.: LSI1P167/01-206

Application No.: Not yet assigned

Examiner: Not yet assigned

Filed: Herewith

Group: Not yet assigned

Title: DUAL LAYER BARRIER FILM  
TECHNIQUES TO PREVENT RESIST  
POISONING

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Misha Eisman

NONPUBLICATION REQUEST AND CERTIFICATION  
(35 U.S.C. 122(b)(2)(B)(i))

Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

Sir:

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen (18) months after filing. I hereby request that the attached application **NOT** be published under 35 U.S.C. 122(b).

Date:

6.28.01  
Russell N. Swerdon

Registration No. 36,943

## NOTE:

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application upon filing.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications, eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign of international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).